

## **EXPRESS MAIL CERTIFICATE**

DOCKET NO.

2809/1381 (PH-7222)

**APPLICANT** 

Beck et al.

TITLE

**NOVEL 4-PHENYL SUBSTITUTED** 

TETRAHYDROISOQUINOLINES AND THERAPEUTIC USE

**THEREOF** 

Certificate is attached to the Information Disclosure Statement (1 page) and Form PTO/SB/08A (3 pages) of the above-named application.

"EXPRESS MAIL" NUMBER:

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DATE OF DEPOSIT:

**November 17, 2005** 

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**Shawn Lockett** 

(Typed or printed name of person mailing paper or fee)

(Signature of person mailing paper or fee)



PATENT

Docket No.: 2809/1381

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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Applicants	:	Beck et al.	) Examiner:
Serial No.	:	09/902,845	) Charanjit Aulakh )
			) Art Unit:
Cnfrm. No.	:	9511	) 1625
Filed	:	July 11, 2001	)
For	:	NOVEL 4-PHENYL SUBSTITUTED TETRAHYDROISOQUINOLINES AND THERAPEUTIC USE THEREOF	) ) )
			)

## INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR §§ 1.97-1.98

Mail Stop 313(c)
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

Pursuant to 37 CFR §§ 1.97-1.98, applicants hereby bring to the attention of the United States Patent and Trademark Office ("USPTO"), the references listed on the attached PTO-1449 form. Pursuant to a waiver by the USPTO, copies of the U.S. Patents are not enclosed.

Respectfully submitted,

Date: November 17,700s

Michael L. Goldman Registration No. 30,727

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PTO/SB/08A (10-01)

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INFO	RMATION I	NISCI	OSLIDE	Application Number	09/902,845
				Filing Date	July 11, 2001
STATEMENT BY APPLICANT				First Named Inventor	James P. Beck
	(use as many sheets a	s necessa	ry)	Art Unit	1625
				Examiner Name	Charanjit Aulakh
Sheet	1	of	3	Attorney Docket Number	2809/1381 (PH-7222)

U.S. PATENT DOCUMENTS							
Examiner Initials	Cite No.1	U.S. Patent Document  Number - Kind Code <sup>2</sup> (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear		
	1	US-4,113,869	09-12-1978	Gardner			
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FOREIGN PATENT DOCUMENTS							
Cite No.1	Foreign Patent Document	Publication Date	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant			
	Country Code <sup>3</sup> Number <sup>4</sup> Kind Code <sup>5</sup> (if known)	MM-DD-YYYY		Figures Appear	T <sup>6</sup>		
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		Cite Foreign Patent Document No.1 Kind Code5	Cite No. Foreign Patent Document Publication Date MM-DD-YYYY	Cite No. 1 Publication Date Name of Patentee or Applicant of Cited Document  Kind Code 5 MM-DD-YYYY	Cite No. Name of Patentee or Applicant of Cited Document No. Name of Patentee or Applicant of Cited Document Kind Code MM-DD-YYYY  Name of Patentee or Applicant of Cited Document Figures Appear		

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Examiner		Date	
Signature	_	Considered	

<sup>\*</sup>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at 222.uspto.gov or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>5</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

PTO/SB/08B (10-01)

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INFORMATION DISCLOSURE STATEMENT BY APPLICANT (use as many sheets as necessary)					Application Number 09/902,845					
					Filing Date		July 11, 2001			
					First Named Inventor		James P. Beck			
	(use as	many sheets t	as necess	sary)	Group Art Unit		1625			
					Examiner Name		Charanjit Aulakh			
Sheet		2		3	Attorney Docket Number		2809/1381 (PH-7222)			
	•			OTHER PRIOR A	RT – NON PATENT LITERA	TURE DOCUMEN	TS	_		
Examiner nitials*	Cite No.! Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published.									
	2	BANERJI et al., "Studies on Single-Electron Transfer Reagents. Part IV <sup>1a-c</sup> Reaction of Nitrogen Heterocycles with Sodium Naphthalenide," <i>Tetrahedron</i> 50(30):9079-9096 (1994)								
	3	BOBOWSKI & GOTTLIEB, "4-Substituted-1,2,3,4-tetrahydro-3,3-dimethylisoquinolines. II.," J. Heterocyclic Chem. 19(1):21-27 (1982)								
	4	BROWN & DYKE, "1,2-Dihydroisoquinolines-II Berbine Synthesis," <i>Tetrahedron</i> 22(8):2429-35 (1966)								
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	6	CHANDRASEKHAR et al., "Highly Efficient Synthesis of 3-alkyl/aryl-4-aryl-1,2,3,4-tetrahydroisoquinolines from N,N-dibenzylaminols," Tetrahedron Lett. 43(10):1885-1888 (2002)								
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	11	SUGIURA & HAMADA, "Studies on Nitrogen-Containing Heterocyclic Compounds. XXXV. Syntheses and Reduction of 4-Amino-2-cyano-1,3-dimethoxy-1,2,3,4-tetrahydroisoquinolines," Yakugaku Zasshi 99(6):556-563 (1979)								
•	12	SUGIURA et al., "Synthesis and Stereochemistry of 3,7-Diazatricyclo[4.2.2.2 <sup>2,5</sup> ]dodeca-9,11-dienes Derived By [4+4] Cyclodimerization of 2,3-Dihydroisoquinoline Derivatives," <i>Chem. Pharm. Bull.</i> 46(12):1862-1865 (1998)								
•	13	UNO et al., "A Novel Method for the Synthesis of 4-Isoquinolinols," J. Heterocyclic Chem. 28(2):341-346 (1991)								
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<sup>\*</sup> EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>&</sup>lt;sup>1</sup> Applicant's unique citation designation number (optional). <sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

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Substitute de form 1449B/PTO Complete if Known 09/902,845 Application Number INFORMATION DISCLOSURE July 11, 2001 Filing Date STATEMENT BY APPLICANT James P. Beck First Named Inventor (use as many sheets as necessary) 1625 Group Art Unit Charanjit Aulakh Examiner Name Sheet 3 of 3 Attorney Docket Number 2809/1381 (PH-7222) OTHER PRIOR ART - NON PATENT LITERATURE DOCUMENTS Cite No.1 Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the Examiner  $T^2$ Initials\* item (book, magazine, journal, serial, symposium, catalog, etc.)., date, page(s), volume-issue number(s), publisher, city and/or country where published. 14 CAS Number 53885-32-8 15 CAS Number 53885-23-7 16 BEILSTEIN NO. 455853 (CAS 71730-66-0) 17 BEILSTEIN NO. 4048047 (CAS 17074-38-3, 17074-39-4) 18 BEILSTEIN NO. 4102323 (CAS 53885-34-0) 19 BEILSTEIN NO. 4341479 (CAS 134021-24-2) 20 BEILSTEIN NO. 4494373 (CAS 82416-61-3) 21 BEILSTEIN NO. 4774688 (CAS 133160-36-8) 22 BEILSTEIN NO. 4787749 (CAS 133043-12-6, 133160-34-6, 133160-35-7) 23 BEILSTEIN NO. 4787750 (CAS 133043-12-6, 133160-34-6, 133160-35-7) 24 BEILSTEIN NO. 4787836 (CAS 133043-20-6, 133043-31-9) 25 BEILSTEIN NO. 4787837 (CAS 133043-20-6, 133043-31-9) 26 BEILSTEIN NO. 4788234 (CAS 133043-19-3, 133043-30-8) 27 BEILSTEIN NO. 4788235 (CAS 133043-19-3, 133043-30-8) 28 BEILSTEIN NO. 4789758 (CAS 133043-21-7, 133043-22-8) Examiner Date

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